

# Key Information Memorandum and application form

## **Canara Robeco Fixed Maturity Plan - Series 5 - 13 Months (Plan A)**

(Close-ended Fixed Maturity Debt Scheme)

Offer for Units of Rs. 10 Per Unit for cash during the New fund Offer Period.

### **Canara Robeco Mutual Fund**

Investment Manager : Canara Robeco Asset Management Co. Ltd.  
Construction House, 4th Floor, 5, Walchand Hirachand Marg,  
Ballard Estate, Mumbai 400 001.  
Tel.: 6658 5000, 6658 5086 Fax: 6658 5012 / 13.  
[www.canararobeco.com](http://www.canararobeco.com)

New Fund Offer Opens on: 9th March, 2010

New Fund Offer Closes on: 11th March, 2010

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This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.canararobeco.com](http://www.canararobeco.com).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum (KIM) dated as on 4th March, 2010

### Investment Objective

To seek to generate income by investing in a portfolio of debt and money market instruments maturing either on or before the maturity of the scheme. However, there can be no assurance that the investment objective of the scheme will be realized.

### Asset Allocation Pattern of the scheme

Instruments	Indicative allocations (% of total assets)		Risk Profile
	Min	Max	
Debt Securities (including Securitized Debt)	85	100	Low to Medium
Money Market Instruments	0	15	Low to Medium

- Exposure by the scheme in Securitized Debt shall not exceed 30% of the Net Assets of the Scheme at the time of investment.
- Exposure by the Scheme in derivative instruments for the purpose of hedging and portfolio rebalancing shall not exceed 30% of the Net Assets of Scheme.
- Total of investments in debt securities (including securitized debt), money market instruments and gross notional exposure in derivatives shall not exceed 100% of the net assets of the scheme.

### Investment Strategy of the Scheme

The Scheme will invest in debt securities in order to generate consistent superior risk adjusted returns as per the investment objectives of the scheme. The Schemes may invest some portion of the portfolio in Money Market Instruments. The remaining investment will be made in securities of varying maturity. However, the maturity of the portfolio will normally be in line with the maturity period of the respective plans in the series. The Scheme may also use various derivatives and hedging products from time to time as would be available and permitted by SEBI in an attempt to protect the value of the portfolio and enhance unit holder's interest. In addition, the Investment Manager will monitor the macro economic conditions, including the political, economic environment and factors affecting liquidity and interest rates. The Investment Manager would use this analysis to assess the likely direction of interest rates and position the portfolio appropriately to take advantage of the same.

### Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.

Scheme specific Risk Factors are summarized below:

Risk & Description specific to Debt Securities	Risk mitigants / management strategy
<b>Credit risk</b> Risk that the issuer of a debenture/bond or a money market instrument may default on interest payment or even in paying back the principal amount on maturity.	There will be no exposure to unrated debt / money market instruments. Highly rated securities are selected at the time of portfolio construction. Investment in not less than P1 or equivalent in CPs/CDs and other MMI. Investment in not less than AA or equivalent in Bonds/NCDs.
<b>Price-risk or Interest Rate risk</b> Risk when interest rates rise, prices of existing fixed income securities fall and when interest rates drop, such prices increase.	To reduce the interest rate risk, the weighted residual maturity of the portfolio should be at least 80% of the residual maturity of the scheme.
<b>Active Trading Risk</b>	No Trading in the Portfolio is envisaged
<b>Liquidity Risk</b> Risk arising out of difficulty in liquidating a security or asset	The scheme has no repurchase commitments as per the revised SEBI Regulations wherein the repurchase for redemption facility is not provided by any of the closed ended funds directly.

### Plans and Options

Name of Plan	Investment Options
13 Months (Plan A)	Growth Dividend Payout

### Default Option:

If the investor does not clearly specify the choice of Options, at the time of investing it will be treated as a Growth Option.

### Applicable NAV (after the scheme opens for repurchase and sale)

No redemption/repurchase of units shall be allowed prior to the maturity of this close ended scheme. Investors wishing to exit may do so, only in demat mode, by selling through National Stock Exchange of India Ltd. or any of the stock exchange(s) where the scheme will be listed as may be decided by the Trustees.

### Minimum Application Amount/ Number of Units

Purchase	Additional Purchase	Repurchase
Rs. 5,000.00 and multiples of Re.1.00 thereafter	Not applicable	Not applicable

### Despatch of Repurchase (Redemption) Request

Within 10 working days of the receipt of the redemption request at the authorised centre of the Canara Robeco Mutual Fund.

### Benchmark Index

CRISIL Composite Bond Fund Index

### Dividend Policy

The Fund may distribute, surplus if any, by way of dividend/ fully paid bonus units, as may be decided by the Trustees from time to time. **If there is no distributable surplus or surplus amount is too small for distribution, in the opinion of the Trustees, the Dividend/Bonus declaration may not take place. The Fund is not assuring or guaranteeing any dividend or returns.**

Income Distribution, if declared, warrants will be issued within 30 days from the date of declaration of Income Distribution or such period that may be stipulated from time to time. The Income Distribution will be paid out of the Net surplus of the Fund, to those unit holders whose names appear in the register of unit holders on the date to be notified for the purpose.

### Name of the Fund Manager

Name of Plan	Fund Manager
13 months (Plan A)	Mr. Akhil Mittal

**Name of the Trustee Company :** Canara Robeco Mutual Fund

### Performance of the scheme :

This scheme does not have any performance track record as it is a NFO

### Expenses of the Scheme

Load Structure

### New Fund Offer Period

Entry load : Nil

Exit load : Being a Listed Scheme, no exit load/CDSC will be applicable.

### Continuous Offer

Entry load : Nil

Exit load : Being a Listed Scheme no exit load/CDSC will be applicable.

### Recurring expenses

As per the Regulation 52, the investment management fee and total annual scheme recurring expenses chargeable to the Scheme are as under:

First Rs. 100 crores of the average weekly net assets	:	2.25%
Next Rs. 300 crores of the average weekly net assets	:	2.00%
Next Rs. 300 crores of the average weekly net assets	:	1.75%
Balance	:	1.50%

**Waiver of Load for Direct Applications :** Not Applicable

**Tax treatment for the Investors (Unitholders)**

Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.

**Daily Net Asset Value (NAV) Publication**

The NAV will be declared on all business days except Saturdays/Sundays/Public Holidays/book closure period days; and will be published in 2 newspapers on daily basis. NAV can also be viewed on [www.canararobeco.com](http://www.canararobeco.com) and [www.amfiindia.com](http://www.amfiindia.com).

**For Investor Grievances please contact**

**Computer Age Management Services Pvt. Ltd.**

178/10 K. H. Road, Opp. Palmgroove, Hotel Nungambakkam, Chennai 600 034  
Tel No. (044) 39115574, 39115583. Email: [enq\\_n@camsonline.com](mailto:enq_n@camsonline.com)

**Mutual Fund**

**Canara Robeco Mutual Fund**

Construction House, 4nd Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai - 400 001. Tel. No. (022) 66585000 - 5086, E-Mail ID : [crmf@canararobeco.com](mailto:crmf@canararobeco.com)

**Unitholders' Information**

**Account Statements at the time of NFO**

**Account Statements for investors holding demat accounts:**

The AMC shall issue to all investors whose application has been accepted during NFO, an allotment advice specifying the number of units allotted.

Subsequent account statement may be obtained from the depository participants with whom the investor holds the DP account.

**Account Statements for investors not holding demat accounts:**

The AMC shall issue to all investors whose application has been accepted during NFO, an account statement specifying the number of units allotted.

For those unitholders who have provided an e-mail address, the AMC will send the account statement by e-mail.

**Annual Account Statement for investors for investors not holding in Demat mode:**

The Mutual Funds shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of account statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement. The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.

Alternately, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

**Half Yearly Disclosures: Portfolio / Financial Results:**

This is a list of securities where the corpus of the scheme is currently invested. The market value of these investments is also stated in portfolio disclosures. Before expiry of one month from the close of each half year that is on 31st March and 30th Sept, the Fund shall publish its un-audited financial results in one national English daily newspaper and in a newspaper in the language of the region where the Head Office of the Fund is situated.

**Unitholders' Information**

**Account Statement:**

- The AMC shall issue to the investor whose application for Subscription / Redemption has been accepted, an account statement specifying the number of units allotted / Redeemed within 10 working days.
- Account Statement for SIP and STP will be dispatched once every quarter. However, the first Account Statement under SIP/STP shall be issued within 10 working days of the initial investment / transfer.
- The AMC shall issue to the investor whose application for redemption has been accepted, an account statement specifying the number of units redeemed within ten working days.
- For those unitholders who have provided an e-mail address, the AMC will send the account statement by e-mail, if so mandated.

- The unitholder may request for a physical account statement by writing/calling the AMC/ISC/R&T.

**Annual Account Statement:**

- Mutual Fund shall provide the Account Statement to the unit holders who have not transacted during the last six months prior to the date of generation of account statements. The Account Statement shall reflect the latest closing balance and value of the units prior to the date of generation of the account statement
- The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.

Alternately, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

**Half yearly Disclosures: Portfolio / Financial Results**

Before expiry of one month from the close of each half year that is on 31.03 and 30.09, the Fund shall publish its un-audited financial results in one national English daily newspaper and in a newspaper in the language of the region where the head office of the Fund is situated.

These shall also be displayed on the web site of the Fund ([www.canararobeco.com](http://www.canararobeco.com)) and that of AMFI ([www.amfiindia.com](http://www.amfiindia.com)). Full portfolio in the prescribed format shall also be disclosed either by publishing it in the newspaper or by sending to the unit holders within one month from the end of each half year and it shall also be displayed on the web site of the Fund.

**Annual Report**

An abridged Scheme-wise annual report shall be mailed to all unit holders not later than four months from the date of closure of the relevant accounting year and the full annual report shall be made available for inspection at the Head Office of the Fund and a copy shall be made available to the unit holders on request on payment of nominal fees, if any.

These shall also be displayed on the web site of the Fund and that of AMFI. Full portfolio in the prescribed format shall also be disclosed either by publishing it in the newspaper or by sending to the unit holders within one month from the end of each half year and it shall also be displayed on the web site of the Fund.

**Who can Apply**

1. Adult Individual(s) and also minor(s) through their parent/guardian. (Application of minors jointly with adults not allowed).
2. Adult Individual(s) jointly not exceeding three, on first holder or survivor/s basis.
3. Hindu Undivided Family (HUF)
4. Partnership Firms
5. A Company as defined in the Companies Act, 1956, Public Sector Undertakings.
6. A Body Corporate established by or under any law in force in India.
7. A Co-operative Society registered under any law relating to Co-operative Societies in India.
8. A Religious or Charitable Trust / Wakfs or a Society established under the relevant laws and authorised to invest in Mutual Fund Schemes.
9. FIs registered with SEBI.
10. Banks and Financial Institutions.
11. Pension Funds/Pension Fund Managers.
12. Non Resident Indians (NRIs) and Persons of Indian Origin (PIOs) on repatriation / non-repatriation basis.
13. Army, Air Force, Navy and other para-military units and bodies created by such institutions. Scientific and Industrial Research Organisations.
14. Multilateral Funding Agencies / Body Corporates incorporated outside India with the permission of Government of India / Reserve Bank of India
15. Other Schemes of Canara Robeco Mutual Fund subject to the conditions and limits prescribed by SEBI Regulations.
16. Any other category of investors that may be permitted by the Trustees as per Indian Laws, in future.

## Instructions for filling an application form

- Application must be in the Name of (a) Resident/Non Resident Individual or Individuals not exceeding 3 in number; (b) Minor through his/her parent/guardian ; (c) A Hindu Undivided Family ( by the Karta acting on behalf of the HUF); (d) An Association of persons or a Body of Individuals (e) Indian Companies or Body Corporates, Trusts and Societies; (f) Partnership Firm.
- In case of HUF, the karta shall sign on behalf of the HUF. All communications will be addressed to the karta at the address given by him.
- For minimum amount of application, please refer Minimum Investment column of this Key Information Memorandum.
- Signatures should be in English or in any of the Indian Languages, within the box provided. Thumb impression must be attested by an Authorised Official of the Bank or by a Magistrate/Notary Public under his/her Official Seal.
- In case of Application under Power of Attorney, the relevant Power of Attorney or certified copy thereof must be lodged along with the application.
- Payment should be made by Cheque / Bank Draft drawn on any bank which is situated at and is a member or sub-member of the Bankers' Clearing House located at the place where the Application Form is submitted.

In case applications submitted along with demand draft, the Fund may bear the draft charges on actual basis. The investors have to attach the copy of the acknowledgment issued by the Bank for purchase of DD which indicates the DD charges. The investor will be allotted with units for the gross amount of investment.

- Cash / Money Orders will not be accepted.
- All Cheques/Bank Drafts must be drawn in favour of "CANARA ROBECO MUTUAL FUND COLLECTIONS" and the name of the First/Sole applicant and serial No. of the application form shall be indicated on the reverse of the Cheque/Bank Draft as the case may be.
- Application Forms duly completed in all respects must be submitted at the Sales Offices of the AMC / Identified center for sale of units of the Scheme.
- The acknowledgement slip will be made in favour of First/Sole Applicant.
- Agents are not permitted to accept cash with application. The Fund shall not be held responsible for any kind of wrong tenders.
- It is mandatory from January 01, 2008, for all applicant (s) (including resident and non-resident investors), guardians (in case of minors) and power of attorney holders to provide PAN, along with a certified copy the PAN card for all transactions in units of the schemes irrespective of the amount of transaction.
- From 2nd February, 2008, it is mandatory to comply with 'Know Your Customer' (KYC) norms in respect of the applications for investment of Rs. 50,000/- and above for all the investors / applicants including NRI, guardians (in case of minors) and power of attorney holders.

All investors including guardians and power of attorney holders need to complete 'Know Your Customer' (KYC) formalities through CVL (CDSL Ventures Ltd.) by completing a KYC application form along with photograph, PAN card and Proof of Address for individuals, or Corporate Documents for bodies corporate, in accordance with the Prevention of Money-Laundering Act, 2002 (PMLA), Rules issued thereunder and the guidelines / circulars issued by SEBI thereto.

- Applications which are incomplete are liable to be rejected and the Trustees of Canara Robeco Mutual Fund shall not be responsible for any consequences thereof.
- Where an Application is rejected in full or part, application money will accordingly be refunded to the applicant. No interest will be paid on the amount so refunded. Letters of regret together with refund cheques, if any, will be despatched by post at the applicant's sole risk.
- First/Sole applicant, should fill in the particulars of their operative Bank Account which is mandatory and application without bank details is liable to be rejected.
- Documents to be submitted :
  - For Charitable and Religious Trusts/Association / Society :

(a) Trust Deed / Bye-Laws, (b) Certified copy of resolution, (c) List of authorised signatories with specimen signatures.

ii) For Companies :

(a) Certified Copy of the Board Resolution, (b) Memorandum & Articles of Association, (c) List of authorised signatories with specimen signatures.

iii) For Partnership Firms : Copy of the Partnership Deed

- The right to accept or reject any application in whole or in part lies with the Trustees.
- NRI - PAYMENT PROCEDURE

Payment by NRIs and person of Indian origin residing abroad may be made by way of Indian Rupee Draft purchased abroad or by way of cheque drawn on Non-Resident (External) (NRE) Non-Resident Ordinary (NRO) Accounts. Payments can be also be made by means of Rupee Draft purchased out of NRE / NRO / FCNR accounts.

- NOMINATION DETAILS

As per the recent AMFI Circulars, a Unit Holder in the scheme may be all owed to nominate upto a maximum of three nominees. The nomination will be on a proportionate basis and investor may specify the percentage for each nominee in the event of his / her demise. If the percentage is not specified, it will be equal percentage for the nominees by default. Provision for mentioning the details of the nominees are made in the KIM/ application form and or separate nomination request forms is made available to the investors. The details of the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation from the nominee(s) regarding demise of the investor duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, signature of the nominee, furnishing proof of guardianship if the nominee is a minor, and the execution of an indemnity bond or such other documents as may be required from the nominee in favor of and to the satisfaction of the AMC / Registrar, the units will be transmitted to the nominee(s) as per the percentage advised by the investor and a confirmation/fresh Statement of account will be sent to the new holder(s).

Only the following categories of Indian residents can be nominated: (a) individuals; (b) minors through parent / legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office.

However non Individual's, including society, trust, body corporate, partnership firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate.

A nomination in respect of Units will be treated as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded and the AMC / Fund shall not be under any obligation to transfer the Units in favour of the nominee.

The transfer of Units / payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund / AMC / Trustee and shall discharge the Fund / AMC / Trustee of all liability towards the estate of the deceased Unit Holder and his / her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be indemnified from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may suffer or incur in connection with a nomination.

- Real Time Gross Settlement (RTGS) & National Electronic Funds Transfer (NEFT)

The AMC provides the facility of 'Real Time Gross Settlement (RTGS)' & 'National Electronic Funds Transfer (NEFT)' offered by Reserve Bank of India (RBI), which aims to provide credit of redemption and dividend payouts (if any) directly into the bank account of the Unit holder maintained with the banks (participating in the RTGS/NEFT System). Unit holders can check the list of banks participating in the RTGS/NEFT System from the RBI website i.e.

## Instructions for filling an application form

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www.rbi.org.in or contact any of our Investor Service Centres.

However, in the event of the name of Unit holder's bank not appearing in the 'List of Banks participating in RTGS/NEFT' updated on RBI website www.rbi.org.in, from time to time, the instructions of the Unit holder for remittance of redemption / dividend (if any) proceeds via RTGS/NEFT System will be discontinued by Canara Robeco Mutual Fund / Canara Robeco Asset Management Company Limited without prior notice to the Unit holder and the payouts of redemption / dividend (if any) proceeds shall be effected by sending the Unit holder(s) a cheque / demand draft.

For more details on NEFT or for frequently asked questions (FAQs) on RTGS/NEFT, Unit holders are advised to visit the RBI website www.rbi.org.in

### Electronic Clearing Service (ECS)\*

Investors who have opted for the ECS facility of RBI for dividend payment will receive a direct credit of the amount due to them in their mandated account whenever the payment is made through ECS. A separate advice regarding credit of amount(s) via ECS will be sent to the unit holder. It should be noted that while the Mutual Fund will make all efforts, there is no commitment that this facility will be made available to all desirous investors.

Applicants in cities not covered under ECS facility will receive dividend payments, if any by cheques or demand drafts and the same will be mailed to the Unitholders. Please note that the ECS Facility is available only in respect of dividend payments and not in the case of Redemption of Units. Therefore, the Investors will receive their redemption / dividend proceeds (if any) directly into their bank accounts in the following order:

- (i) In case the bank account of an investor is covered under RTGS/ NEFT system offered by the RBI then the payment of redemption / dividend proceeds (if any) shall be effected via RTGS/NEFT mechanism only.
- (ii) The facility for payment of dividend proceeds, if any via ECS\* shall be effected only in case the bank account of an investor is not covered under the RTGS/ NEFT system.

\* available only in respect of dividend payments.

Each of the above facilities aims to provide direct credit of the redemption proceeds and dividend payouts (if any) into the bank account of the Unit holder and eliminates the time lag between despatch of the cheque, its receipt by the Unit holders and the need to personally bank the instrument and await subsequent credit to the Unit holders account. Further, the potential risk of loss of instruments in transit through courier / post is also eliminated. Each of the said facility as a mode of payment, is faster, safer and reliable. In case the bank account as communicated by the Unit holder is with any of the banks participating in the RTGS/NEFT System offered by RBI, the AMC shall automatically extend this facility to the Unit holders provided unitholders have furnished all requisite information to the AMC to receive such credits.

Canara Robeco Asset Management Company Limited / Canara Robeco Mutual Fund shall not be held liable for any losses / claims, etc. arising on account of processing the credit via RTGS/NEFT/ECS of redemption / dividend proceeds on the basis of Bank Account details as provided by the unit holder in the Application Form.

Canara Robeco Asset Management Company Limited / Canara Robeco Mutual Fund shall not be liable for delayed receipt / non receipt of redemption / dividend amount for reason of incomplete / inaccurate information provided by the unit holders

However, if the Unit holders are not keen on availing of any of the said facilities and prefer receiving cheques / demand drafts, Unit holders may indicate their intention in the Application Form in the space provided specifically. The AMC would then ensure that the payouts are effected by sending the Unit holders a cheque / demand draft. In case of unforeseen circumstances, the AMC reserves the right to issue a cheque / demand draft.

Note:

Multiple nominations are permitted i.e. more than one person can be nominated under the same folio.

# Canara Robeco Mutual Fund

Investment Manager : Canara Robeco Asset Management Co. Ltd.  
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.  
Tel.: 6658 5000, 6658 5086 Fax: 6658 5012 / 13 www.canararobeco.com

# CANARA ROBECO

Application No. \_\_\_\_\_

Broker Name / ARN	Sub Broker Code / ARN	Bank Serial No. / Bank Stamp / Receipt Date

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

### EXISTING UNIT HOLDER INFORMATION [Please fill in your Folio Number and proceed to Investment Details and Payment Details]

Folio No.  Name of 1st Unit Holder

The details in our records under the folio number mentioned will apply for this application.

### PAN AND KYC COMPLIANCE STATUS DETAILS - Mandatory [Refer Instruction Nos. 12 & 13]

PAN # (refer instruction)	KYC Compliance Status** (if yes, attach proof)
First / Sole Applicant@ <input type="text"/>	Yes <input type="radio"/> No <input type="radio"/>
Second Applicant <input type="text"/>	Yes <input type="radio"/> No <input type="radio"/>
Third Applicant <input type="text"/>	Yes <input type="radio"/> No <input type="radio"/>

@ If the first/sole applicant is a Minor, then please provide details of Natural / Legal Guardian. # Please attach PAN proof. \*\*Refer instruction 13

### APPLICANT(S) INFORMATION [Refer Instruction 1]

NAME OF FIRST / SOLE APPLICANT / MINOR  DATE OF BIRTH (Mandatory in case of Minor & 1st Applicant)  DD / MM / YYYY

Mr. | Ms. | M/s.

Father/Husband's Name

NAME OF SECOND APPLICANT

Mr. | Ms. | M/s.

NAME OF THIRD APPLICANT

Mr. | Ms. | M/s.

NAME OF THE GUARDIAN (In case First Applicant is a Minor)  Relationship with Minor Please (✓)  
Mother  Father  Legal Guardian

Mode of Holding Please (✓)  Anyone or Survivor  Single  Joint  (Default option is Anyone or Survivor)

Occupation Please (✓)  Business  Service  Professional  Retired  Student  Housewife  Others  Please specify

Status Please (✓)  Resident Individual  NRI - NRO  Trust  HUF  Bank / Fls  NRI - NRE   
 Minor thru Guardian  Company/Body Corporate  Fls  Partnership Firm  AOP / BOI  Society

### MAILING ADDRESS [Please provide Full Address. P. O. Box No. may not be sufficient. Overseas Investors will have to provide Indian Address]

Local Address of 1st Applicant -

City  State  Pin Code

Tel. Off.  Resi.  Mobile

E-Mail

Overseas Correspondence Address (Mandatory for NRI / FI Applicant)

City  Country  Pin Code

### COMMUNICATION (Please ✓)

I/We wish to receive Account Statements/Annual Reports/Quarterly Statements/Newsletter/Updates or any other Statutory Information via E-mail/SMS alerts in lieu of Physical Documents.

### BANK ACCOUNT DETAILS - Mandatory

Name of the Bank

Account No.  A/c. Type Please (✓) SAVINGS  NRE  CURRENT  NRO  FCNR

Branch Address

Bank Branch City  State  Pin Code  MICR Code

IFSC Code (RTGS)  IFSC Code (NEFT)  (Mandatory for Credit via NEFT/RTGS) Please attach a cancelled cheque OR a clear photo copy of a cheque

(11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your Bank)

### ACKNOWLEDGEMENT SLIP (TO BE FILLED IN BY THE SOLE/FIRST APPLICANT)

**CANARA ROBECO**

Investment manager : Canara Robeco Asset Management Company Ltd.  
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.

Application No. \_\_\_\_\_ Date \_\_\_ / \_\_\_ / \_\_\_\_\_

Received from Mr. / Ms. / M/s. \_\_\_\_\_

An application for purchase of \_\_\_\_\_ units of Canara Robeco Fixed Maturity Plan-Series 5-13 Months (Plan A) Scheme along with cheque / DD as detailed overleaf. Cheques / Drafts are subject to realisation.

Stamp, Signature & Date

**DEMAT ACCOUNT DETAILS** – (Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant).

Do you want Units of SDFS in Demat Form (Please (✓)) <input type="checkbox"/> Yes <input type="checkbox"/> No		If Yes, please provide the below details																					
National Securities Depository Limited (NSDL)		Central Depository Services (India) Limited (CDSL)																					
Depository Participant Name _____		Depository Participant Name _____																					
DP ID No. <table border="1" style="display: inline-table; width: 100px; height: 15px;"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>											Target ID No. _____												
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**INVESTMENT DETAILS AND PAYMENT DETAILS (Payment through Cash/Non-MICR Cheques/Outstation Cheques not accepted)**

Kindly fill up the plan/option and sub-option. For complete information on Investment Details please refer to Instructions No. 6, 7 & 8

**Scheme Name :** Canara Robeco Fixed Maturity Plan - Series 5 - 13 Months (Plan A)

<b>Plans :</b> <input type="checkbox"/> 13 Months (Plan A)	<b>Options :</b> <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Payout
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Investment Amount (Rs.)	DD Charges# if any (Rs.)	Net Amount (Rs.)	Mode of Payment	Cheque / DD / Funds Transfer
Chq. / DD No. _____ Date _____ Drawn on Bank _____ Branch & City _____		<small>Strike out whichever is not applicable</small>		
*A/c Type - (✓) S/B <input type="checkbox"/> NRE* <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> FCNR* <input type="checkbox"/> *Kindly provide photocopy of the payment Instrument or Foreign Inward remittance Certificate (FIRC) evidencing source of funds				
Please (✓) RTGS <input type="checkbox"/> Fund transfer <input type="checkbox"/> letter dated _____ of _____ Bank & Branch from A/c No. _____				

**MODE OF PAYMENT OF REDEMPTION / DIVIDEND PROCEEDS VIA RTGS/NEFT/CHEQUE/DEMAND DRAFT (PLEASE TICK THE RELEVANT OPTION BELOW) [Refer Instruction 21]**

Unit holders have the option of receiving their redemption/ dividend proceeds directly into their bank accounts (as furnished by them in the column for "bank account details" as per the following arrangements: Please (✓))

(i) Electronic credit through the Real Time Gross Settlement (RTGS) System of RBI for amounts of Rs.1.00 lac and above. (Please mention the IFSC code for RTGS of your bank/branch and full account number as appearing on your cheque leaf in the column for "bank account details" to receive redemption proceeds through RTGS)  (ii) Electronic credit through the National Electronic Funds Transfer (NEFT) System of RBI. (Please mention the IFSC code for NEFT of your bank/branch and full account number as appearing on your cheque leaf in the column for "bank account details" to receive redemption proceeds through NEFT)  (iii) ECS credit through ECS system of RBI (option available only for dividend payments) (Please mention 9 digit MICR as code as appearing in your cheque leaf in the column for bank account details)  (iv) Redemption proceeds by way of a cheque/demand draft instead of a direct credit to our account.

**NOMINATION DETAILS (OPTIONAL) [Minor / HUF / POA Holder / Non Individuals cannot Nominate - Refer Instruction No. 20]**

I / We \_\_\_\_\_ do hereby nominate the undermentioned Nominee(s) to receive the units to my / our credit in this folio no. in the event of my / our death. I / We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

No.	Nominee(s) Name	Date of Birth (in case of Minor)	Name of the Guardian (in case of Minor)	Relationship with Unit Holder	@% of Share
1		D D - M M - Y Y Y Y			
2		D D - M M - Y Y Y Y			
3		D D - M M - Y Y Y Y			

<input checked="" type="checkbox"/> Signature of 1st Nominee / Guardian	<input checked="" type="checkbox"/> Signature of 2nd Nominee / Guardian	<input checked="" type="checkbox"/> Signature of 3rd Nominee / Guardian
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@ If the percentage of share is not mentioned then the claim will be settled equally amongst all the indicated nominee(s)

**DECLARATION**

To the trustees Canara Robeco Mutual Fund. I / We have read and understood the contents of the SID and Key Information Memorandum of the Scheme. I/We hereby apply to the Trustees of Canara Robeco Mutual Fund for allotment of units of the Scheme, as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I / We hereby confirm and certify that the source of these funds is not directly / indirectly a result of "proceeds of crime" as defined in "The Prevention of Money Laundering Act, 2002" and we undertake to provide all necessary proof / documentation, if any, required to substantiate the facts of this undertaking. I have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We authorize the Fund to disclose details of my/our account and all my/our transactions to the intermediately whose stamp appears on the application form. I also authorize the Fund to disclose details as necessary, to the Fund's and investor's bankers for the purpose of effecting payments to me / us. **Applicable to NRIs only :** I/We confirm that I am/we are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non-Resident External / Ordinary Account / FCNR / NRSR Account. Investment in the scheme is made by me / us on:  Repatriation basis  Non Repatriation basis. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

<input checked="" type="checkbox"/> First / Sole Applicant / Guardian	<input checked="" type="checkbox"/> Second Applicant	<input checked="" type="checkbox"/> Third Applicant
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**To be furnished by partnership firms**

To, The Trustees of Canara Robeco Mutual Fund, Sub : Our Subscription to the Schemes of Canara Robeco Mutual Fund. We, the undersigned, being the partner of M/s. \_\_\_\_\_ a Partnership firm formed under Indian Partnership Act, 1932 do hereby jointly and severally authorise Mr. \_\_\_\_\_ to subscribe an amount of Rs. \_\_\_\_\_ for allotment of units of \_\_\_\_\_ Scheme on behalf of and in the name of our firm. He is / They are also authorised to encash / disinvest the above units. We undertake to intimate you in writing about any change in the constitution or composition of our firm and upon such change, also arrange to lodge the specimen signatures of the partners authorised to deal with the above units. We enclose the copy of the Partnership Deed alongwith this application for subscription.

Name of the partners \_\_\_\_\_ Signatures \_\_\_\_\_

Scheme Name : \_\_\_\_\_ Plan : \_\_\_\_\_ Option : \_\_\_\_\_

Cheque / DD No. : \_\_\_\_\_ Date : \_\_\_\_\_ Amount : Rs. \_\_\_\_\_ Bank and Branch: \_\_\_\_\_

**REGISTRAR & TRANSFER AGENTS**

Computer Age Management Services Pvt. Ltd., (For all other Schemes) 178/10 K.H. Road, Opp. Palmgroove Hotel, Nungambakkam, Chennai 600 034. Tel No. : (044) 39115574, 39115583 Email : enq_n@camsonline.com
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## Canara Robeco Asset Management Company Ltd.

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**Branch Offices:** **AHMEDABAD:** Tower A, Unit No.: 402/B, 4th Floor, Ganesh Plaza, Opp. Navrangpura Bus Stand, Off. C.G. Road, Ahmedabad 380 009. Email: crmf.ahmedabad@canararobeco.com. Tel.: (079) 66610423 - 24. **BANGALORE:** 4&5 Ground Floor, Business Point, Next to Brigade Towers, 137, Brigade Road, Bangalore - 560025 Email: crmf.bangalore@canararobeco.com. Tel.: (080) 39871400/01 Fax: (080) 30901092. **BHOPAL:** Kay Kay Business Centre, 1st Floor, 133, Zone 1, M.P. Nagar, Bhopal 462 011. Email: crmf.bhopal@canararobeco.com. Tel.: (0755) 3013648. **CHANDIGARH:** SCO 333-334, 1st Floor, Sector 35 B, Chandigarh 160 022. Email: crmf.chandigarh@canararobeco.com. Tel.: (0172) 2648007 Fax: (0172) 2600531. **CHENNAI:** 770-A, 1st Floor, Spencer Annexe, Anna Salai, Chennai 600 002. Email: crmf.chennai@canararobeco.com. Tel.: (044) 2849596-98 Fax: (044) 28497023. **COIMBATORE:** Door No. A/1, Thiruvankataswamy Road (East), R.S. Puram, Coimbatore 641012. Email: crmf.coimbatore@canararobeco.com. Tel.: (0422) 2546453. **DELHI:** 306, Kanchen Junga Building., 18, Barakamba Road, New Delhi 110 001. Email: crmf.delhi@canararobeco.com. Tel.: (011) 23326417, Fax (011) 23354780. **GOA:** Kamat Chambers, Ground Floor, Shop No.: 3, Opp. Hotel Neptune. Panjim Goa : 403001. Email: crmf.goa@canararobeco.com. Tel.: (0832) 2422415, 6450631. **HYDERABAD:** Flat No. 201/202, IIInd Floor, Sanatana Eternal, Door No.3-6-108/1, Road No. 19, Himayat Nagar, Hyderabad 500029. Email: crmf.hyderabad@canararobeco.com. Tel.: (040) 23261481/1482 Fax: (040) 23261480. **INDORE:** 107, 1st Floor, Bansi Trade Centre, Palasia, Indore - 452001. Tel.: (0731) 6451222. **KOCHI:** Door No. XL/6030, 1st Floor, Al-Falah Plaza, Opp. Oriental Bank of Commerce, Broadway North End, Kochi 682 031. Email: crmf.kochi@canararobeco.com. Tel.: (0484) 2364846/36 Fax: (0484) 2364836. **KOLKATA:** Horizon, Block B, 5th Floor, 57, Chowringhee Road, Kolkata 700 001. Email: crmf.kolkata@canararobeco.com. Tel.: (033) 30584716-19 Fax: (033) 30584720. **LUDHIANA:** Room No. 328, 3rd Floor, Ludhiana Stock Exchange Building, Feroze Gandhi Market, Ludhiana - 141 001. Email: crmf.ludhiana@canararobeco.com. Tel.: (0161) 2421333 Fax: (0161) 2421333. **MANGALORE:** 1st Floor Essel Towers, Bunts Hostel Circle Mangalore 575 003. Email: crmf.mangalore@canararobeco.com. Tel.: (0824) 2448804 Telefax: (0824) 2445565. **MUMBAI:** Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001. Email: crmf.mumbai@canararobeco.com. Tel.: (022) 66585000-18, 66585085 Fax: (022) 66585012/13. **NAGPUR:** Unit no. C/6 4th Floor Indu Yash II, 186, Cement Road Dharam Peth Extension, Nagpur - 400010. Email: crmf.nagpur@canararobeco.com. Tel.: (0712) 6615364. **PATNA:** 4th Floor, 401/402, Aashiana Hariniwas, Dak Banglow Road, Patna - 800001, BIHAR Email: crmf.patna@canararobeco.com. Tel.: (061) 22227950 Mobile No.: 9334481768. **PUNE:** Deccan Mall, Office 1&2, 1st Floor, Deccan Gymkhana, Pune 411 004. Email: crmf.pune@canararobeco.com. Tel.: (020) 30923820 Fax: (020) 25661454. **SURAT:** Unit Nos .HG1/2, Megharatna Complex, Near State Bank of India, Athugar Street, Nanpura, Surat 395 001. Email : crmf.surat@canararobeco.com . Tel.: (0261) 6554243, 6641345. **TRICHY:** Srinivasa Complex, No. 145/74 C, Salai Road, Trichy 620 018. Email: crmf.trichy@canararobeco.com. Tel.: (0431) 2750130. **VADODARA:** 116, Siddharth Complex, R.C. Dutt Road, Alkapuri, Vadodara - 390 007. Email: crmf.baroda@canararobeco.com. Tel.: (0265) 2326483 Telefax: (0265) 2356843. **VISAKHAPATNAM:** Vidisha Towers, 47-14-10 Flat No. 3, 3rd Floor, Dwarkanagar Main Road, Vishakhapatnam - 530 016. Email: crmf.vizag@canararobeco.com. Tel.: (0891) 6556645, 6631616 Fax: (0891) 6631646

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**Statutory Details :** Canara Robeco Mutual Fund (CRMF) has been set up as a Trust under Indian Trust Act, 1882. **Sponsors :** Canara Bank, Head Office, 112 J C Road, Bangalore; Robeco Groep N.V., Coolsingel 120, 3011 Rotterdam, Netherlands. **Risk Factors :** Mutual Funds and securities investments are subject to market risks and there can be no assurance or guarantee that the objectives of the Schemes will be achieved. The names of Schemes do not indicate the future prospects or the returns. As with any investment in securities, the NAV of the units issued under the Schemes may go up or down depending on the factors and forces affecting the securities market. Past performance of the Sponsors/AMC/Mutual Fund do not guarantee future performance of the Schemes. The Sponsors of the Fund are not responsible or liable for any loss or shortfall resulting from the operations of the Schemes of CRMF, beyond the initial contribution of a sum of Rs. 10 lacs towards setting up of CRMF. **Investors should read the Schemes Offer Document (OD) / Information Document (SID) for Scheme specific risk factors and other details before investing.**