

Name of the Mutual Fund : **Tata Mutual Fund**

Name of the AMC : **Tata Asset Management Ltd.**



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KEY INFORMATION MEMORANDUM

TATA **FIXED MATURITY PLAN** **Series 26 Scheme A**

(A CLOSE ENDED DEBT SCHEME)

Issue of Units of Tata Fixed Maturity Plan Series 26 Scheme A (13 Months Maturity)
at Face Value of Rs. 10/- Per Unit during the New Fund Offer Period

NEW FUND OFFER OPENS ON: 17th MARCH, 2010
NEW FUND OFFER CLOSING ON: 18th MARCH, 2010*
*The Trustee/AMC reserves the right to close the subscription in the scheme earlier by giving at least one day's prior notice in one English daily newspaper having nation wide circulation as well as a newspaper published in the language of the region where the head office of the mutual fund is situated

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This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties and pending litigations, etc. investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website www.tatamutualfund.com**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

Fort House, 221, Dr. D. N. Road, Mumbai - 400 001. Toll Free: 1800-209-0101 Fax: (022) 22613782

E-mail: kiran@tataamc.com **Website:** www.tatamutualfund.com

Investment Objective	The investment objective of the scheme is to generate income and / or capital appreciation by investing in wide range of Debt and Money Market instruments having maturity in line with the maturity of the respective schemes. The maturity of all investments shall be equal to or less than the maturity of respective schemes.			
Asset Allocation	Types of Instruments	Indicative allocations (% of total assets)		Risk profile
		Maximum	Minimum	High/Medium/Low
	Debt & Money Market Instruments & Securitised Debt	100	0	Medium to Low
	<p>** At the time of Investment</p> <p>No investments would be made in foreign securitised debt.</p> <p>For calculation of Gross Derivative Exposure, all types of derivative exposure i.e. long and short term will be aggregated. The aggregate exposure to Debt Instruments, Gross Derivative Exposure and Money Market instruments (excluding CBLO, REPO and others cash equivalents instruments) will not exceed 100% of the net assets of scheme. The scheme net assets will have a maximum derivative net position of 50% of the net assets of the scheme. Investment in derivative instruments may be done for hedging and Portfolio balancing.</p> <p>The scheme may invest upto a maximum of 50% of the scheme's net assets in domestic securitised debt. If permitted by SEBI under extant regulations / guidelines, Not more than 25% of the net assets of the scheme shall be deployed in securities lending. The Scheme would limit its exposure, with regards to securities lending, for a single intermediary, to the extent of 5% of the total net assets of the scheme at the time of lending.</p> <p>Pending deployment of funds of a scheme in securities in terms of investment objectives of the scheme a mutual fund can invest the funds of the scheme in short term deposits of scheduled commercial banks. The above Asset Allocation Pattern is only indicative. The investment manager in line with the investment objective may alter the above patterns for short term and on defensive consideration. The asset allocation among the various debt securities will be decided based upon the prevailing market conditions, macro economic environment & the performance of corporate sector, the debt market & other considerations.</p> <p>The AMC may in line with investment pattern of the scheme from time to time for a short term period on a defensive consideration invest upto 100% of the funds available in repos, CBLO etc. The primary motive being to protect the Net Asset Value of the Scheme and protect unitholders interest so also to earn reasonable returns on liquid funds maintained for redemption/repurchase of units.</p> <p>The investment policies mentioned in this SID are in conformity with the provisions of various constitutional documents VIZ.MOA/AOA of the TAML/Trustee Company, IMA and the Trust Deed. Any change in the asset allocation affecting the investment profile of the scheme shall be effected only in accordance with the provisions of regulations 18-15A of SEBI (Mutual Funds) Regulations, 1996.</p>			
Risk Profile of the Scheme	<p>Mutual Fund Units involve investments risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific risk factors summarised below:</p> <ul style="list-style-type: none"> Investments in debt securities are subject to interest rate risk, credit risk & liquidity risk The present scheme is not guaranteed or assured return scheme There are no assured or guaranteed returns under the scheme. Under no circumstances investors can claim/demand minimum returns under the scheme from Tata Asset Management Limited or Tata Trustee Company Limited or any of its directors or employees or agents/distributors of Tata Mutual fund. The returns of the investors will be depending upon the yield of the underlying portfolio which is subject to various risks mentioned in the Scheme Information Document. 			
Plans and Options	<p>Scheme A : 13 Months from the date of allotment</p> <p>Duration : 13 Months from the date of allotment</p> <p>In case the maturity date or payout date happens to be a non-business day then the immediate next business day shall be considered as the maturity date.</p>		<p>Under each plan Growth Option & Dividend Option is available. Dividend Option has Quarterly & Periodic Option with Dividend Payout facility.</p>	
	<p>Roll Over Facility</p> <p>At the time of maturity, if it is perceived that the market outlook for the similar securities/ instruments is positive and investment in the similar kind of instruments would likely to fetch better returns for the investors, then in the interest of the Investor, the Trustees may decide to roll-over the Plan under the scheme. This would be based on demand/ request of the investors for the same. All other material details of the plan including the likely composition of assets immediately before the roll over, the net assets and net asset value of the scheme, will be disclosed to the unitholders and a copy of the same filed with the SEBI. Such rollover will always be permitted only in case of those unitholders who express their consent in writing.</p>			
Applicable NAV (after the scheme opens for repurchase)	<p>Subscription: Being a close ended scheme, units of the scheme cannot be subscribed after the closure of NFO</p> <p>Redemption: Being a scheme to be listed on the exchange, the fund will not accept any redemption / repurchase and switch-out application till the maturity of the scheme.</p> <p>However, after the closure of the NFO, Investors can buy the units of the scheme in dematerialized form or sell the units of the scheme from / to the recognised Stock Exchanges in India i.e. BSE where the units of the scheme are proposed to be listed.</p> <p>In view of the above, the provision of NAV applicability is not applicable to this scheme.</p>			
Minimum Application Amount / Number of Units	Purchase		Additional Purchase	
	<p>Growth option - Rs.10,000/- .</p> <p>Quarterly Dividend Option (Payout) - Rs. 10,000/-</p> <p>Periodic Dividend Option - Rs. 10,000/-.</p>		<p>Being a close ended scheme units of the scheme can not be subscribed after the closure of NFO.</p>	
Despatch of Redemption cheque	Since the fund will not accept any redemption / switch-out till the maturity of the scheme, this clause is not applicable to this scheme.			
Benchmark Index	CRISIL Short Term Bond Fund Index			
Dividend Policy	<p>In case of Growth Option the income / profits received / earned would be accumulated by the Fund as capital accretion, aimed at achieving medium to long term and also short term capital growth as reflected in the NAV. In case of a Dividend Option the profits received / earned and so retained and reinvested may be distributed as Income at appropriate rates (after providing for all relevant ongoing expenses, etc.) and at appropriate intervals as may be decided by the AMC and/or Trustee Company will be distributed to the unitholders who hold the units on the record date of declaration of the Income. The Trustee Company reserves the right to change the frequency for income distribution at its discretion. Guided by the philosophy of value-oriented returns, the intent being to protect the Net Asset Value of the Scheme and interests. Please note that the dividend distribution and its frequency is subject to availability of distributable surplus and at the discretion of the trustees</p> <p>The Fund reserves a right to modify the periodicity and manner of payout of such dividend as they deem fit without giving any further notice to unitholders. The Fund does not assure any targeted annual return / income nor any capitalisation ratio. Accumulation of earnings and / or capitalisation of bonus units and the consequent determination of NAV, may be suspended temporarily or indefinitely under any of the circumstances as stated in the clause "Suspension of Ongoing Sale, Repurchase or Switchout of Units"</p> <p>Quarterly Dividend: At the discretion of the trustees , subject to availability of distributable surplus.</p> <p>Periodic Dividend: Atleast once during the tenure of the scheme at the discretion of the trustees from time to time, subject to availability of distributable surplus.</p> <p>Book Closure: Please note that whenever any dividend is declared by the scheme, there will be a book closure and during that period units of the scheme will not be traded on the stock exchange.</p>			
Name of the Fund Manager	Mr. Chintan Mehta			

Name of the Trustee Company	Tata Trustee Co. Ltd.
Performance of the scheme	This scheme does not have any performance track record.
Expenses of the Scheme	
(i) Load Structure	Entry Load: N.A. Exit Load: Nil (Since the scheme will be listed on the Stock Exchange, the exit load is not applicable)
(ii) Recurring expenses	The recurring expenses of the schemes shall be as per the limits prescribed under sub- regulation (6) of Regulation 52 of the regulations and shall not exceed the limits prescribed there under. As per the Regulations, the maximum recurring expenses that can be charged to the Scheme shall be subject to a percentage limit of weekly net assets as in the table below: First Rs. 100 crores : 2.25%; Next Rs. 300 crores: 2.00%; Next Rs. 300 crores: 1.75%; Balance: 1.50%

The trustee (or the person authorized) shall dispose of the assets of the scheme concerned in the best interest of the unit holders of that scheme. The proceeds of sale of the assets realised shall be first utilised towards discharge of such liabilities as are due and payable under the schemes and after making appropriate provision for meeting the expenses connected with such winding up, the balance shall be paid to the unit holders in proportion to their respective interest in the assets of the schemes as on the last day of close ended period.

Tax Treatment For Investor of Investments in Mutual Funds

Investors are advised to refer to the details in the Statement of Additional Information (SAI) & also independently refer to his/her tax advisor.

Certain tax benefits as described below are available, under present taxation laws to the Unitholders holding Unit of Debt Funds as an investment. The information set out below is included for general information purposes only and does not constitute legal or tax advice. In view of the individual nature of the tax consequences, each investor is advised to consult his or her own tax consultant with respect to specific tax implications arising out of their participation in the Scheme. Income Tax benefits to the mutual fund and to the unitholder is in accordance with the prevailing tax law as certified by the mutual funds tax consultant

(i) Tax Benefits to the Mutual Fund

Tata Mutual Fund is a Mutual Fund registered with the Securities and Exchange Board of India and hence the entire income of the Fund will be exempt from income-tax in accordance with the provisions of Section 10(23D) of the Income-tax Act, 1961 (the Act). The Fund is entitled to receive all income without any deduction of tax at source under the provisions of Section 196(iv), of the Act.

On income distribution, if any, made by the Fund, on or after 1 April, 2004, to its Unitholders, being Individuals and Hindu Undivided Family, income distribution tax will be payable under Section 115R of the Act, at the rate of *14.1625% (inclusive of surcharge and additional surcharges called Education Cess and Secondary and Higher Education Cess on income-tax), and to other Unitholders at the rate of *22.66% (inclusive of surcharge and additional surcharges called Education Cess and Secondary and Higher Education Cess on income-tax), except, inter alia, in the case of equity-oriented funds (including close ended equity funds) (i.e. such fund where the investible funds are invested by way of equity shares in domestic companies to the extent of more than 65% of the total proceeds of such Fund), where no such tax will be payable. In case of Liquid Funds and Money Market Funds, the rate of Dividend Distribution Tax is *28.325% (inclusive of surcharge and additional surcharges called Education Cess and Secondary and Higher Education Cess on income-tax).

*Currently as per Finance Bill 2010, surcharge is 7.5% (currently not passed by the parliament)

(ii) Tax Benefits to the Unitholders

Income Tax

According to Section 10(35) of the Act, any income other than capital gain received in respect of units of a mutual fund specified under Section 10(23D) of the Act will be exempt from income tax in the hands of the unitholders.

Capital Gains Tax

Long-term capital gains on sale of units of Mutual Funds other than equity oriented funds are not exempt from income tax under Section 10(38) of the Act in the hands of unit holders. The provisions for taxation of long-term capital gains for different categories of assessee are explained hereunder:

(i) For Individuals, HUFs, Partnership Firms, Non-residents, Indian Companies, Foreign Companies

Long-term capital gains in respect of units of Mutual Funds held for a period of more than 12 months will be chargeable under Section 112 of the Act, at a rate of 20% plus surcharge, as applicable and Education Cess and Secondary and Higher Education Cess. Capital gains would be computed after taking into account cost of acquisition as adjusted by Cost Inflation Index notified by the Central Government and expenditure incurred wholly and exclusively in connection with such transfer. In the case where taxable income as reduced by long term capital gains is below the exemption limit, the long term capital gains will be reduced to the extent of the shortfall and only the balance long term capital gains will be charged at the flat rate of 20% plus surcharge, as may be applicable and Education Cess and Secondary and Higher Education Cess.

It is further provided that an assessee will have an option to apply concessional rate of 10% plus applicable surcharge and Education Cess and Secondary and Higher Education Cess, provided the long term capital gains are computed without substituting indexed cost in place of cost of acquisition.

(ii) For Non-resident Indians

Under Section 115E of the Act for non-resident Indians, income by way of long-term capital gains in respect of units is chargeable at the rate of 20% plus applicable surcharge and Education Cess at and Secondary and Higher Education Cess. Such long-term capital gains would be calculated after indexation of cost of acquisition.

It may be possible for non-resident Indians to opt for computation of long term capital gains as per Section 112, which provides for 10% tax on long term capital gain.

(iii) For Overseas Financial Organisations (Section 115AB) and Foreign Institutional Investors (115AD)

Under Section 115AB of the Act, income earned by way of long-term capital gains in respect of units purchased in foreign currency held for a period of more than 12 months by Overseas Financial Organisation will be chargeable to tax at the rate of 10%, plus applicable surcharge and education cess and secondary and higher education cess. The rate of 10% will apply only if the units are purchased in foreign currency. Such gains would be calculated without indexation of cost of acquisition. Also, no benefit under Chapter VI-A shall be allowed against such long term capital gain. However, there is no concessional rate for a Foreign Institutional Investor as units of mutual fund are specifically excluded from the purview of section 115AD.

Exemption from Long Term capital gain:

Under Section 54EC of the Act and subject to the conditions specified therein, taxable capital gains, arising on transfer of a long term capital asset, shall not be chargeable to tax to the extent such capital gains are invested in certain notified bonds within six months from the date of transfer subject to an upper limit of Rs. 50 lakhs per year per tax payer. No deduction from the amount of income with reference to such investment shall be allowed under section 80C.

Under Section 54F of the Act and subject to the conditions specified therein, in the case of an individual or a HUF, capital gains (subject to the exemption of long-term capital gains provided for in section 10(38) of the Act, discussed elsewhere in this Statement) arising on transfer of a long term capital asset (not being a residential house) are not chargeable to tax if the entire net consideration received on such transfer is invested within the prescribed period in a residential house. If part of such net consideration is invested within the prescribed period in a residential house, then such gains would not be chargeable to tax on a proportionate basis. For this purpose, net consideration means full value of the consideration received or accruing as a result of the transfer of the capital asset as reduced by any expenditure incurred wholly and exclusively in connection with such transfer.

C. Short Term Capital Gains on Transfer of Units

Short term capital gains in respect of Units held for a period of less than 12 months is added to the total income. Total income including short-term capital gains is chargeable to tax as per the relevant slab rates. However, in case of Foreign Institutional Investors, firms and companies, short term capital gain will be chargeable to tax at the rate of 30% plus applicable surcharge and education cess and secondary and higher education cess. Firms will not be liable to surcharge. In case of foreign companies the tax rate would be 40% plus applicable surcharge and education cess.

D. Capital Losses

Losses under the head "Capital Gains" cannot be setoff against income under any other head. Further within the head "Capital Gains", losses arising from the transfer of long-term capital assets cannot be adjusted against gains arising from the transfer of a short-term capital asset. However, losses arising from the transfer of short-term capital assets can be adjusted against gains arising from the transfer of either a long-term or a short-term capital asset.

Unabsorbed capital loss, short term as well as long term can be carried forward and set off against the income under the head Capital Gains in subsequent eight assessment years.

According to Section 94(7) of the Act, if any person buys or acquires units within a period of three months prior to the record date fixed for declaration of dividend or distribution of income and sells or transfers the same within a period of nine months from such record date, then losses arising from such sale to the extent of income received or receivable on such units, will be ignored for the purpose of computing his income chargeable to tax.

Further, Sub-section (8) of Section 94 provides that, if any person buys or acquires units within a period of three months prior to the record date fixed for declaration of dividend or distribution of income and bonus units have been issued to any person on the basis of existing units held by such person and such person sells or transfers the original units or bonus units within a period of nine months from such record date, then the loss on sale of original units shall be ignored for the purpose of computing income chargeable to tax, However, the loss so ignored shall be considered as cost of acquisition of such bonus units held on the date of sale by such person.

Tax Deduction at Source

For Income in Respect of Units:

As per the proviso to Section 196A(1) of the Act, no tax shall be deducted at source from any income credited or paid to non-resident unitholders in respect of units of a mutual fund specified under Section 10(23D) of the Act. Similarly as per the provisions of Section 194K of the Act no tax should be withheld or deducted at source where any income is credited or paid by a mutual fund to a resident unitholders.

For Capital Gain

(a) In respect of Resident Unit holders:

No tax is required to be deducted at source on capital gains arising to any resident Unit holder (under section 194K) vide circular no. 715 dated August 8, 1995 issued by the Central Board for Direct Taxes (CBDT)..

(b) In respect of Non- Resident Unit holders:

As per the provisions of Section 195 of the Act, tax is required to be deducted at source from the redemption proceeds paid to investors; this withholding is in addition to the securities transaction tax payable, if any, by the investor. Under Section 195 of the Act, tax shall be deducted at source in respect of capital gains as under:

• In case of non-resident unitholders:

Short term capital gains 30% plus surcharge and cess
Long term capital gains 20% plus surcharge and cess

• In case of foreign company:

Short term capital gains 40% plus surcharge and cess
Long term capital gains 20% plus surcharge and cess

No tax would be deductible at source from the capital gains (whether long-term or short-term) arising to an FII on repurchase/redemption of units in view of the provisions of Section 196D (2) of the Act.

As per circular no. 728 dated October 1995 by CBDT, in the case of a remittance to a country with which a Double Taxation Avoidance Agreement (DTAA) is in force, the tax should be deducted at the rate provided in the Finance Act of the relevant year or at the rate provided in DTAA whichever is more beneficial to the assessee.

Investments by Charitable and Religious Trusts

Units of a Fund Scheme referred to in clause 23D of section 10 of the Income Tax Act, 1961, constitute an eligible avenue for investment by charitable or religious trusts per rule 17C of the Income Tax Rules, 1962, read with clause (xii) of sub-section (5) of Section 11 of the Income Tax Act, 1961.

Wealth Tax

Units held under the Schemes of the Fund are not treated as assets as defined under Section 2(ea) of the Wealth Tax Act, 1957 and therefore would not be liable to wealth tax.

Gift Tax

The Gift-tax Act, 1958, has ceased to apply to gifts made on or after 1 October 1998. Gifts of Units, purchased under the Schemes, would therefore, be exempt from gift-tax. However, with effect from 1 October, 2009, if any individual or an Hindu Undivided Family receives a gift of units of any mutual fund whose market value exceeds Rs. 50,000 and such gift is received from a person other than a relative as defined in section 56 of the Act, then the value of such gift would be considered as the income of the recipient and would be added to the normal income of such person for income-tax purposes.

Daily Net Asset Value (NAV):

The first NAV of the scheme shall be declared not later than 30 days from the closure of New Fund Offer period of a scheme. Thereafter the fund will endeavour to declare and publish the scheme NAV on all business days in atleast 2 daily newspapers. Nav can also be viewed on www.tatamutualfund.com or www.amfiindia.com. you can also call us at the Toll free no: 1800-209-0101

For Investor Grievances:

please contact

Name and Address of Registrar:

Computer Age Management Services Pvt Ltd., New No.10, Old No.178, MGR Salai, Nungambakkam, Chennai - 600034.

Investment Manager:

Tata Asset Management Ltd.

Fort House, 221, Dr. D. N. Road, Fort, Mumbai – 400001. Toll Free: 1800 - 209 - 0101, Fax: (022) 66315194. Email: kiran@tataamc.com

Unitholders' Information

Units will be allotted within 30 days from the date of closure.

Allotment of Units: Subject to the Scheme receiving the minimum subscription & compliance with the requirement of minimum number of investors in the scheme, full allotment will be made to all valid applications received during the NFO period.

Allotment of Units on Application shall be made in the following manner:

As the scheme will be listed on Bombay Stock Exchange Limited. Units issued under the Scheme shall be allotted in electronic (dematerialized) form. For this purpose, the investors need to furnish the details of their depository account in the Application Form. The Units allotted in electronic form will be credited to the investor's Beneficiary Account with a Depository Participant (DP) of CDSL or NSDL as per the details furnished by the investor in the Application Form within thirty days from the close of the New Fund Offer. An intimation / allotment advice specifying the number of units allotted to the investor will be dispatched within 30 days from the closure of the NFO. The Account Statement of the Beneficiary Account with the DP will be sent by the respective DP's as per their service standards. In case the Unitholder does not wish to get his/her Units converted / allotted in electronic form, the AMC shall issue Account Statements specifying the Units allotted to the investor within 30 days from the date of NFO closure. It may please be noted that trading in the Units over the stock exchange will be

permitted only in electronic form & cannot be traded in physical form. Please note that where the investor has furnished the details of their depository accounts in the Application Form, it will be assumed that the investor has opted for allotment in electronic form & the allotment will be made only in electronic form as default.

Please note that the Account statement is not transferable & also cannot be dematerialised. In case unit holder wish to dematerialised the units, he/she shall comply with the procedures prescribed by the AMC / Depository from time to time.

The allotment of units is subject to realisation of the payment instrument. The AMC/ Trustee is entitled, in its sole and absolute discretion, to reject any Application.

Rounding off Units: Stock exchanges may not allow trading of fractional units. Hence, units will be allotted only in integers by rounding off the units allotted to the lower integer and the balance amount will be refunded to the investor.

Transaction Cost: Though, there will be no entry / exit load for buying / selling the units from / to the secondary market, the investors will have to bear the other costs related to transacting in the secondary market e.g. Brokerage, Service Tax etc.

Book Closure: If any dividend is declared by the scheme (under the dividend option) then there shall be a book-closure for the scheme to identify the eligible investors to receive the dividend amount and in such case there will be no trading of the units of the scheme on the stock exchange during the book-closure period. Such book -closure, if any, shall be in line with the listing agreement of the stock exchange.

De-listing of the schemes: The Schemes will be de-listed after the tenure of the schemes gets over. The AMC/Trustee will initiate the delisting procedure at least 30 days prior to the date of maturity of the scheme. The unitholders will not able to trade in stock exchange once the schemes are delisted.

The fund will publish its unaudited financial results & Half Yearly results before the expiry of one month from the close of each half year in the prescribed format as per SEBI Circular MFD/CIR/1/200/2001 dated April 20, 2001 and scheme portfolio in the prescribed format as per SEBI Circular MFD/CIR/9/1/20/2000 dated November 24, 2000 in one national English daily newspaper and in a newspaper in the language of the region where the HO of the fund is situated.

Annual Report: The Fund will, not later than four months after the close of each financial year (March 31), mail to the Unitholders an abridged scheme wise annual report. Further, the full text of the Annual Report will be available for inspection at the office of the Fund. A copy of the Annual Report will be sent to Unit holders, free of cost, on specific request. The fund shall disclose the Annual Report on its website www.tatamutualfund.com.

Eligibility for Application

The following persons (subject, wherever relevant to, purchase of Units being permitted under their respective constitutions and relevant State Regulations) are eligible to apply for the purchase of the Units:

- Adult individuals, either singly or more than one (not exceeding three) on first holder basis or jointly on an either or survivor/any one basis.
- Parents, or other lawful Guardians on behalf of Minors.
- Companies, corporate bodies, public sector undertakings, trusts, wakf boards or endowments, funds, institutions, associations of persons or bodies of individuals and societies (including Co -operative Societies) registered under the Societies Registration Act, 1860 (so long as the purchase of Units is permitted under their respective constitutions).
- Mutual Funds (including any Scheme managed by AMC or any Scheme of any other Mutual Fund); (in accordance with Regulation 44(1) read with Clause 4 of Schedule VII, of the Securities & Exchange Board of India (Mutual Funds) Regulations, 1996).
- Asset Management Company (AMC); (in accordance with Regulation 24(3) of the Securities & Exchange Board of India (Mutual Funds) Regulations, 1996).
- Partnership firms, in the name of the partners.
- Hindu Undivided families (HUF) in the sole name of the Karta.
- Financial and Investment Institutions/ Banks.
- Army/ Navy / Air Force, para military Units and other eligible institutions.
- Religious and Charitable Trusts provided these are allowed to invest as per statute and their bylaws.
- Non-resident Indians/ persons of Indian origin residing abroad (NRIs) on a full repatriation basis.
- Foreign Institutional Investors registered with SEBI (FIIs).
- International Multilateral Agencies approved by the Government of India.

Applicants who cannot Invest.

- Any individual who is a Foreign national or any other entity that is not an Indian resident under the Foreign Exchange Management Act, 1999, except where registered with SEBI as a FII or FII sub account.
- Overseas Corporate Bodies (OCBs) shall not be allowed to invest in the scheme. These would be firms & societies which are held directly or indirectly but ultimately to the extent of atleast 60% by NRIs & trusts in which atleast 60% of the beneficial interest is similarly held irrevocably by such persons (OCBs).
- Non-Resident Indians residing in the United States of America and Canada. The Fund reserves the right to include / exclude new / existing categories of investors to invest in the scheme from time to time, subject to SEBI Regulations and other than prevailing statutory regulations, if any.

Date: 11/03/2010



Expertise that's trusted

Key Information Memorandum & Application Form

TATA FIXED MATURITY PLAN SERIES - 26 SCHEME A

(A close ended debt scheme.)

Sr. No. :

EXISTING FOLIO NO. (IF ANY) :

Offer of units at face value of Rs. 10 each.

Refer complete scheme details/instructions while filling in application form in English BLOCK LETTERS. Tick (✓) which ever is applicable. Strike out what is not required.

BROKER/AGENT CODE SUB-BROKER CODE

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors including the service rendered by the distributor.

DEMAT ACCOUNT DETAILS: (Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant). In case Unit holders do not provide their Demat Account details, an account statement shall be sent to them. Such investors will not be able to trade on the stock exchange. (Refer Inst. - F)

NATIONAL SECURITIES DEPOSITORY LTD. (NSDL) CENTRAL DEPOSITORY SERVICES (INDIA) LTD. (CDSL) Depository Participant Name: DPID No.: Beneficiary A/c No.

CHOOSE THE INVESTMENT PLAN Please refer Instruction 'A' for Minimum Investment Amount. Growth Dividend Payout In case of Dividend Payout option only Periodic Dividend Payout Quarterly Dividend Payout

MANDATORY [PLEASE TICK (✓)] (REFER INSTRUCTION - D & E) Applicant Details PAN * please attach proof Know Your Client (KYC) STATUS

UNITHOLDER INFORMATION (Refer Instruction - F) Name of First Applicant Name of Guardian Contact Person Mailing Address City State Country Pin Code

Email ID I/ We wish to receive A/c Statement / Annual Report / Quarterly Statement via Email instead of the physical copy Contact Particulars Overseas Address Occupation Mode of Holding Name of Second Applicant Name of Third Applicant

Acknowledgement Slip TATA FIXED MATURITY PLAN SERIES 26 - SCHEME A (A close ended debt scheme.)



Fort House, 221, Dr. D. N. Road, Fort, Mumbai - 400 001 Toll Free: 1800-209-0101

Subject to realisation of cheques/drafts & furnishing of mandatory document / information.

Received from Mr. / Msan application for purchase of units of Tata Fixed Maturity Plan Series 26 - Scheme A (Gross investment amount) Rs..... (Rs. in words) Cheque/DD No. dated drawn on Bank Branch for Rs. From A/c No. Acknowledgement Stamp All communication should be addressed to Computer Age Management Services Pvt Ltd., New No.10, Old No.178, MGR Salai, Nungambakkam, Chennai - 600034. by quoting First applicant name, application Sr. No., Name of scheme code. Registrar Business Hours: upto 3.00 p.m. on all business days. Current Load structure is enclosed Sr. No. :

Application Money Details (Cheque/DD to be drawn in favour of Tata Fixed Maturity Plan Series 26 - Scheme A). (Refer Instruction - B)											
Gross Amount (A)				DD Charges (if any) (B)				Net Amount (Cheque/DD Amount)			
Rs.				Rs.				Rs.			
Mode of Payment A/c No.		A/c Type			Cheque/DD No.			Dated			
								D D M M Y Y Y Y			
Drawn on Bank											
Branch		Branch City									

NOMINATION (Refer Instruction - I)						
Name and Address of the Nominess(s)	Nominee's Relationship with the 1st unitholder	Proportion (%) by which the units shared by will be shared by each nominee (% to aggregate to 100%)	Date of Birth	Name & Address of Guardian		Signature of Guardian
				(to be furnished in case the nominee is minor)		
Nominee 1						
Nominee 2						

YOUR BANK ACCOUNT DETAILS (Mandatory) Refer Instruction - G.											
All communication/payments will be made to first applicant or to Karta in case of HUF. Bank account details of First Unitholder required without which the application would be rejected											
Name of Your Bank						Branch					
Your Account No.											
Account No. (in words)											
Bank Address											
City				PIN		MICR Code					
Account Type						* IFSC Code for NEFT					
						* This is a 11 Digit Number, obtain it from your Bank Branch					
Example for filling the A/c Number:		Ac. No.	0	0	9	7	4	6	1	5	2
		In words	Zero	Zero	Nine	Seven	Four	Six	One	Five	Two
						(Please attach a cancelled cheque)					

DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND / REFUND PAYOUTS											
Tata Mutual Fund directly credits the Redemption/Dividend/Refund payout into the investor's Bank Account in case the account is with ICICI Bank Ltd./HDFC Bank Ltd./Axis Bank/IDBI Bank/Standard Chartered Bank/Kotak Mahindra Bank/HSBC Bank/Deutsche Bank/ABN Amro Bank/Oriental Bank of Commerce/State Bank of India (Core banking centers only).											
I/We understand that the instruction to the bank for Direct Credit/ECS/NEFT will be given by the Mutual Fund & such instruction will be adequate discharge of Mutual Fund towards redemption/dividend/refund proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible for the credit going to the wrong account. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/ECS/NEFT.											
If however you wish to receive payouts by cheque, please tick here <input type="checkbox"/>											

DOCUMENTS TO BE SUBMITTED (Please tick (✓) whichever is applicable)											
1) <input type="checkbox"/> Memorandum & Articles of Association (Corporate) 2) <input type="checkbox"/> Board Resolution (Corporate) 3) <input type="checkbox"/> Authorised signatories list. 4) <input type="checkbox"/> Trust Deed (in case of a trust) 5) <input type="checkbox"/> Partnership Deed (for partnership firm) 6) <input type="checkbox"/> Copy of PAN card of all unitholders. 7) <input type="checkbox"/> KYC Confirmation. 8) <input type="checkbox"/> Power of Attorney.											

DECLARATION AND SIGNATURES											
<p>The Trustee, Tata Mutual Fund</p> <p>a.) Having read & understood the contents of the Offer Document of the Scheme, I/We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt. of India from time to time. I/We have understood the details of the scheme & I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the AMC, I/We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption & undertaking such other action with such funds that may be required by the Law. b.) For NRIs: I/We confirm that I am / we are Non Residents of Indian Nationality / Origin & that I/ we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary. I/We confirm that details provided by me / us are true & correct. c.) I/We are aware that there are no assured or guaranteed returns under the scheme. Investment by me/us is solely based on my/our investment objective & assessment of prevailing market conditions. d.) I/We have read & understood the SEBI Circular no. MRD/DoP/Cir- 05/2007 dt. April 27, 2007 & SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card / have applied for PAN. e.) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.</p>						<p style="text-align: right;">Refer Instruction - 'C'</p> <p style="text-align: center;">Signature(s) / Thumb Impression(s)</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">1st / Sole holder</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">2nd holder</div> <div style="border: 1px solid black; padding: 5px;">3rd holder</div> <p>Date: _____</p>					

Important Notes: Please ensure that your Application Form is complete in all respects and signed by all applicants : • Name, Address and Contact Details are mentioned in full. • Bank Account Details are entered completely and correctly. • Permanent Account Number (PAN) of all Applicants is mentioned along with the copy of the PAN card • Appropriate Option is selected. • If units are applied for jointly, Mode of Operation of account is indicated • Your Investment Cheque / DD is drawn in favour of "Tata Fixed Maturity Plan Series 26 - Scheme A", dated and signed. • Application Number is mentioned on the reverse of the cheque. • Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

CHECKLIST											
Tata Mutual Fund: Toll Free No. 1800- 09-0101 (Open on all days). Email: kiran@tataamc.com, Website: www.tatamutualfund.com.											
Checklist: Documents as listed below are submitted along with this application .											
Document List				Document List				Document List			
1. PAN <input type="checkbox"/>				5. Memorandum & Articles of Association <input type="checkbox"/>				9. Overseas Auditor's Certificate <input type="checkbox"/>			
2. KYC <input type="checkbox"/>				6. Trust Deed <input type="checkbox"/>				10. Notarised Power of Attorney <input type="checkbox"/>			
3. Resolution / Authorisation to invest <input type="checkbox"/>				7. Bye-Laws <input type="checkbox"/>				11. Foreign Invest Remittance Certificate (FIRC) <input type="checkbox"/>			
4. Authorised Signatories List with Specimen Signature <input type="checkbox"/>				8. Partnership Deed <input type="checkbox"/>				12. Others _____			
All documents in 3 to 8 above should be originals / true copies certified by the Director's / Trustee / Company Secretary / Authorised Signatory / Notary Public.											

Instructions for filling the application form

(A) MINIMUM Application AMOUNT should be:

Growth option - Rs.10,000/-, **Quarterly Dividend Option (Payout)** - Rs. 10,000/-, **Periodic Dividend Option** - Rs. 10,000/-.

(B) PAYMENT PROCEDURE

1. Payment may be made by MICR cheque/DD drawn on/made payable at all those places where the Investor Service Centres are located. The cheque/DD must be drawn in favour of "Tata Fixed Maturity Plan Series 26 - Scheme A" and crossed "ACCOUNT PAYEE and NOT NEGOTIABLE". No outstanding cheques will be accepted. Demand Draft will be accepted only if they are payable at corresponding city of Investor Service Centres where the application is being given and the same is accompanied by a Banker's Certificate. DD charges can be deducted to the corresponding SBI charges.

If there is no authorised Investor Service Centres where the investor resides, he/she may send a Demand Draft from any other bank in favour of "Tata Fixed Maturity Plan Series 26 - Scheme A" payable at Mumbai, after deducting bank charges/ commission (not exceeding rate prescribed by State Bank of India) from the amount of investment. If such bank charges / commission are not deducted by the applicant, then the same may not be reimbursed by the Trustee company. Such bank charges/ commission will be treated as scheme expense. However in case of application along with local cheque or bank draft payable at Mumbai, at / from locations where TMF has its designated authorised Investor Service Centres, Bank Draft charges/ commission may have to be borne by the applicant. In such cases the Trustee Company is entitled, in its sole and absolute discretion, to reject or accept any application.

(Please mention the application Serial number and the first applicant's name on the reverse of the Cheque /DD. Please ensure there is only one Cheque /DD per application form).

2. Application forms duly completed in all respects may be submitted at: Investor Service Centres as mentioned in this Memorandum.
3. The applicants are requested to note the following points before submitting the applications to any of the Investor Service Centres.
 - i) Stockinvests, Post Dated Cheques, Money Orders and Postal Orders will not be accepted and such applications will not be considered for allotment.
 - ii) Cheques once returned in clearing will not be re-presented and the accompanying applications may not be considered for allotment.
4. Applications which are incomplete are liable to be rejected and the Trustee Company of Tata Mutual Fund shall not be responsible for any consequences thereof.
5. Where an application is rejected in full or in part, application money will accordingly be refunded to the applicant. No interest will be paid on the amount so refunded. Letters of regret together with Refund Cheques/Orders if any, will be despatched by post. Refund will be made by DC/NEFT/ECS/ cheques or pay order drawn on the Bankers of Tata Mutual Fund.
6. The right to accept or reject any application in whole or in part lies with the Trustee Company.
7. In case of subscription by NRIs/FILs, in case the payment is made through Indian Rupee draft purchased abroad or from FCNR or NRE A/c, an Account Debit Certificate from the bank issuing the draft, confirming the debit should be submitted. For subscription made by NRE/FCNR account cheques, the application forms must be accompanied with a photocopy of the cheque or Account Debit letter/Certificate from the banker.

Applicants who cannot Invest: • Any individual who is a Foreign national or any other entity that is not an Indian resident under the Foreign Exchange Management Act, 1999, except where registered with SEBI as a FII or FII sub account. • Overseas Corporate Bodies (OCBs) shall not be allowed to invest in the scheme. These would be firms & societies which are held directly or indirectly but ultimately to the extent of at least 60% by NRI's & trusts in which at least 60% of the beneficial interest is similarly held irrevocably by such persons (OCBs). • Non-Resident Indians residing in the United States of America & Canada.

8. Subscription by Multilateral Funding Agencies, on full repatriation basis, is subject to approval by the Foreign Investment Promotion Board.
9. Application form (duly completed), along with DD payable at Chennai can be sent to the registrars viz. **Computer Age Management Services Pvt Ltd., New No.10, Old No.178, MGR Salai, Nungambakkam, Chennai - 600034.**

(C) Application Details

1. Please write the Applicant's Name & Application Serial No. on the reverse of the Cheque/DD Draft.
2. Please mention the Pin Code Number in the Address column.
3. All communication and/or payments will be made to the sole/first applicant.
4. Signatures should be in English or in any Indian language, thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta should sign on behalf of the HUF. In case of Partnership Firms, the Partner should sign on behalf of the Partnership Firm. Similarly, for the Association of Persons (AoP), Company etc. the application must be signed by the Authorised Signatory/Signatories as applicable.
5. Individual(s) as Applicant/unit holder only can make nomination. Nominee must be an individual and not a trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a power of Attorney Holder. Nomination stands rescinded upon transfer / Redemption / Switchover of Units.
6. Where the units are held in the names of two or three persons, such persons shall be deemed to hold the units on first holder basis. In case of HUF/Partnership Firm/AoP/Company, etc. no joint holding will be considered.
7. In case of applications under Power of Attorney or by a Limited Company or by a Corporate Body or Eligible Institution or a Registered Society or a Trust or a Fund, the original Power of Attorney or the certified copy thereof duly notarised and the relevant resolution or authority to make the application, as the case may be including authority granted in favour of the officials signing the application and their specimen signature etc., or duly certified copy thereof along with a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and certificate of registration must be lodged at the office of the Registrar, quoting the serial number of application simultaneously with the submission of the Application Form, failing which the application is liable to be rejected.
8. In case of application with more than one holder, if the 'Mode of Holding/Operation' is not ticked, the default option would be Joint.

(D) PAN Number Details of the Investors

SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the

applicants) to mention his/her Permanent Account Number (PAN) irrespective of the amount of purchase*. Where the applicant is a minor, & does not possess his/ her own PAN, he/ she shall quote the PAN of his/ her father or mother or the guardian, as the case may be.

In order to verify that the PAN of the applicants (in case of application in joint names, each of the applicants) has been duly & correctly quoted therein, the applicants shall attach along with the purchase* application, a photocopy of the PAN card duly attested by the distributor / agent (AMFI registered) through whom the NFO application is effected or by Bank Manager or Notary or Investor Service Centres of TATA Mutual Fund or ISCs of CAMS. Attestation will be done after verification with the original PAN card.

*includes fresh/additional purchase, Systematic Investment.

Applications not complying with the above requirement may not be accepted/processed. Additionally, in the event of any application form being subsequently rejected for mismatch of applicant's PAN details with the details on the website of the Income Tax Department, the investment transaction will be cancelled & the amount may be redeemed at the applicable NAV, subject to payment of exit load, if any, & recovery of unamortised NFO expenses. Please contact any of the Investor Service Centres / CAMS / Distributors or visit our website www.tatamutualfund.com for further details.

(E) Prevention of Money Laundering & Know Your Customer (KYC) Compliance

In terms of the Prevention of Money Laundering Act, 2002, the Rules issued there under and the guidelines/circulars issued by SEBI regarding the Anti Money Laundering (AML Laws), all intermediaries, including Mutual Funds, have to formulate & implement a client identification programme, verify & maintain the record of identity & address(es) of investors. In order to make the data capture & document submission easy & convenient for the investors, Mutual Fund Industry has collectively entrusted the responsibility of collection of documents relating to identity & address of the investor(s) to an independent agency (presently CDSL Ventures Limited) which will act as central record keeping agency ('Central Agency'). As a token of having verified the identity & address of the investor(s) & for efficient retrieval of records, the Central Agency will issue a KYC Acknowledgement Letter (previously known as Mutual Fund Identification Number -MIN Letter) to each investor who submits an application & the prescribed documents to the Central Agency. Investors are required to attach the copy of KYC Acknowledgement Letter (or the erstwhile MIN* Allotment Letter) along with the application form at the time of making investment for the first time in every Mutual Fund. In respect of any subsequent investments in the same Mutual Fund, it is not necessary to attach a copy of the KYC Acknowledgement Letter (or the erstwhile Mutual Fund Identification Number (MIN)* Allotment Letter). Investors who wish to obtain a KYC Acknowledgement Letter have to submit a completed Application Form for Know Your Customer ('KYC Form') along with all the prescribed documents listed in the KYC Form, at any of the Point of Service ('POS'). The KYC Form is available at our website: www.tatamutualfund.com & AMFI website: www.amfiindia.com. POS are the designated centres appointed by the Central Agency for receiving application forms, processing the same & issuance of KYC Acknowledgement Letter. List of and location of POS is available at our website www.tatamutualfund.com & www.amfiindia.com. On submission of KYC Form, documents & information to the satisfaction of the POS, the investor will be issued a provisional KYC Acknowledgement Letter across the counter. Subsequently, the Central Agency will verify the information and documents submitted by the investor. The verification process will be completed within 10 working days from the date of provisional KYC Acknowledgement Letter. In case of any deficiency/insufficiency in documentation, intimation will be sent to the investors. However, the Central Agency may cancel the KYC Acknowledgement Letter within 10 working days from the date of issue of provisional KYC Acknowledgement Letter, in case of any deficiency in the document/information. Intimation of cancellation of KYC Acknowledgement Letter will be despatched by the Central Agency to the investor immediately. No communication will be sent to the investor if the KYC documents are in order. KYC Acknowledgement Letter is presently being issued free of cost. Presently, it is mandatory for all applications for subscription of value of Rs. 50,000 & above to quote the KYC compliance status of all the applicants (guardian in case of minor) in the application for subscription & attach proof of KYC compliance viz. KYC Acknowledgement Letter (or the erstwhile MIN* Allotment Letter). The KYC status will be validated with the records of the Central Agency before allotting units. Tata Mutual Fund will not be held responsible and /or liable for rejection of KYC Form, if any, by the Central Agency. Applications for subscriptions of value of Rs. 50,000 and above without a valid KYC compliance may be rejected. Provided further, where it is not possible to verify the KYC compliance status of the investor at the time of allotment of units, the Trustee / AMC shall verify the KYC compliance status of the investor within a reasonable time after the allotment of units. In the event of non compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor(s) & affect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any & recovery of unamortised NFO expenses. All investors (both individual and non-individual) can apply for KYC compliance. However, applicants should note that minors cannot apply for KYC compliance & any investment in the name of minors should be through a Guardian, who should be KYC compliant for the purpose of investing with a Mutual Fund. Also, applicants / unit holders intending to apply for units / currently holding units and operating their Mutual Fund folios through a Power of Attorney (PoA) must ensure that the issuer of the PoA & the holder of the PoA must mention their KYC compliance status at the time of investment, if such investment(s) are above the prescribed threshold limit. PoA holders are not permitted to apply for KYC compliance on behalf of the issuer of the PoA. Separate procedures are prescribed for change in name, address & other KYC related details, should the applicant desire to change such information. POS will extend the services of effecting such changes.

* Valid only where investors who have already obtained the erstwhile Mutual Fund Identification Number (MIN) by submitting the PAN copy as the proof of identity.

(F) Demat Account

Applicants must ensure that the sequence of names as mentioned in the application form matches that of the account held with the Depository Participant. Client ID, Names, Address and PAN details, other KYC norms mentioned in the application form will be verified against the Depository data which will be downloaded from Depository master. Only those applications where the details are matched with the depository data, will be treated as valid applications for allotment of units in dematerialised form. If the details mentioned in the application are incomplete / incorrect, not matched with the depository data, the application shall be treated as invalid and shall be liable to be rejected / units will be issued allotted by issuing physical account statements.

Please note that where the investor has furnished the details of their depository accounts in the Application Form, it will be assumed that the investor has opted for allotment in electronic form & the allotment will be made only in electronic form as default.

(G) Bank Account Details

It shall be mandatory for the Unitholders to mention their bank account numbers in their applications/ requests for redemptions. Unitholders are requested to give full particulars of their Bank Account i.e. nature of account, Account Number, Nine digit Bank Code Number (For Electronic Credit Facility), branch address of the bank, IFSC code for NEFT/RTGS credits at the appropriate space in the application form.

In case nature of Account is given as NRO or NRE, the status of Applicant will be considered as NRI / NRO or NRI / NRE respectively.

For NRI/NRO, your bank account should be domestic bank/non-repatriable account. For NRI/NRE, your bank account should be NRE.

Any application for subscription /request for redemption without Bank account details will be rejected by the mutual fund.

Applicants who can not invest: Non-Resident Indians residing in United State of America and Canada.

For faster dissemination of information, Unitholders are requested to provide their E-mail IDs.

Mutual Fund / registrar shall not be responsible for e-mail not reaching to the investors and for all consequences thereof.

In case investors are sent Account Statements / Cheques through courier/UCP/registered post. The courier and the postal department as the case may be shall be treated as agents of the investor. Delivery of the statement and cheques to the courier / postal department shall be treated as delivery to the investor. The mutual fund / registrars are not responsible for any delayed delivery or non-delivery or any consequences thereof.

(H) Brokers and Marketing Associates

1. Tata Mutual Fund's Marketing Associates, Agents & Brokers (who are holding the AMFI Certificate) are eligible to mobilise subscriptions under the Fund and earn brokerage based on the amounts subscribed. Brokerage will be paid only to those Marketing Associates, Agents & Brokers whose stamp appears on the application form.
2. Code numbers / ARN No. assigned by AMFI to the Marketing Associates/Agents must be used wherever applicable and their stamps should clearly state their names and any other details as required, in English.
3. Agents are not permitted to accept CASH with Application Form. Tata Mutual Fund shall not be held responsible for any kind of wrong tenders.
4. Brokerage will be paid to only Distributors empanelled with Tata Mutual Fund.
5. Distributors / Agents should mention the ARN No. allotted by AMFI, on the application form.

(I) Nomination Details

The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-Individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. • Minor(s) can be nominated & in that event, the name, address & signature of the guardian of the minor nominee(s) shall be provided by the unit holder. • The Nominee shall not be a trust, society, body corporate, partnerships firm, member of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the policy of exchange control for the time being in force. • Nomination in respect of the units stands rescinded upon the transfer of units. • Transfer of units in favour of Nominee(s) shall be valid discharge by the Asset Management Company (AMC) against the legal heirs, executors, administrators, etc. • The cancellation of nomination can be made only by those individuals who hold units in their own name, either solely or jointly and who have originally made the nomination. • On cancellation of the nomination, the nomination shall stand rescinded & the Asset Management Company (AMC) shall not be under any obligation to transfer the units if favour of the Nominee(s). • Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals making a total of 100% (in the event of the unitholders not indicating the percentage of allocation/share for each of the nominees, the AMC, by invoking default option shall settle the claims equally amongst all the nominees). • The nomination details as registered with the Depository Participant shall be applicable to unitholders who have opted to hold units in Demat mode.

(J) The Fund will disclose details of the investor's account and all his transactions to the intermediary whose stamp appears on the application form. In addition, the Fund will disclose details as necessary, to Fund's and Investor's bankers, for the purpose of effecting payments to the investor.

Prevention of Money Laundering

In terms of the Prevention of Money Laundering Act, 2002, the Rules issued there under and the guidelines / circulars issued by SEBI regarding the Anti Money Laundering (AML Laws), all intermediaries, including Mutual Funds, have to formulate and implement a client identification programme, verify and maintain the record of identity & address(es) of investors.

In order to make the data capture & document submission easy & convenient for the investors, Mutual Fund Industry has collectively entrusted this responsibility of collection of documents relating to identity & address & record keeping to an independent agency (presently CDSL Ventures Limited) that will act as central record keeping agency ('Central Agency').

CHECKLIST

Please ensure that your Application Form is complete in all respects and signed by all applicants : • Name, Address and Contact Details are mentioned in full. • Bank Account Details are entered completely and correctly. • Permanent Account Number (PAN) of all Applicants is mentioned along with the copy of the PAN card • Appropriate Option is selected. If the Dividend Option is chosen, Dividend Payout or Re-investment is indicated. • If units are applied for jointly, Mode of Operation of account is indicated • Your Investment Cheque / DD is drawn in favour of "Tata Fixed Maturity Plan Series 26 - Scheme A", dated and signed. • Application Number is mentioned on the reverse of the cheque. • Documents as listed below are submitted along with the Application Form (as applicable to your specific case). • If required document(s) are not provided within 10 business days from the date of transaction or the documents provided by the non individual investors are insufficient / inaccurate, then the transactions are liable to be reversed with all costs and consequences to the investor.

	Documents	Companies	Trusts	Societies	Partnership Firms	Flis	NRI	Investments through Constituted Attorney
1	Resolution/Authorisation to invest	✓	✓	✓	✓	✓	✓	
2	List of Authorised Signatories with Specimen Signature(s)	✓	✓	✓	✓	✓	✓	✓
3	Memorandum & Articles of Association	✓						
4	Trust Deed		✓					
5	Bye-Laws			✓				
6	Partnership Deed				✓			
7	Overseas Auditor's Certificate					✓		
8	Notarised Power of Attorney							✓
9	Foreign Inward Remittance Certificate, in case payment is made by DD from NRE / FCNR a/c or where applicable						✓	

All documents in 1 to 6 above should be originals / true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public

Investor Service Centres - AMC OFFICES

Call Free : 1800 - 209 - 0101

West Mumbai: Mulla House, Grnd Floor, Homi Modi Street, Mumbai - 400 001, Tel: (022) 66505240, **Ahmedabad:** 402, 'Megha House', Mithakali - Law Garden Rd, Netaji Marg, Ahmedabad - 380 006, Tel: (079) 65418989 / 65447799, 26466080, **Bhopal:** MF-12A Mezzanine Floor, Front Row, Mansarovar Complex, Habibganj, Bhopal - 462 016, Tel: 9826826646, **Borivali:** Grnd Floor, Shop no. 8, Victor Park, Behind Indryani Saree Shop, Chandarvarkar road, Borivali (W), Mumbai - 400 092, Tel: (022) 28945923 / 65278852 **Goa:** FO-4, 1st Floor, Indraprastha, Above Dena Bank, Dr. Shirgaonkar Road, Panjim, Goa - 403 001, Tel: (0281) 6624848, **Surat:** 421, Jolly Plaza, Near Collector Office, Next to G.P. College, Athwa Gate, Surat - 395 001, Tel: (0731) 4201806, **Jabalpur:** Office No. 4, 1178, Napier Town, Home Science College Road, Jabalpur, MP, Tel: (0761) 4074263, **Nagpur:** 21, "Mile Stone", 109, 1st Floor, Near Lokmat Square, Wardha Rd, Ramdaspath, Nagpur - 440 010, Tel: (0712) 6502885, **Nashik:** 5, Samridhi Residency, Opp. Hotel City Pride, Tilakwadi, Sharanpur Rd, Nashik - 422 002, Tel: (0253) 6510315, 6605138, **Pune:** Office No. 105, D-GOLD House, Near Hotel Sheetal, B/H Bharat Petrol Pump, Dnyaneshwar Paduka Chowk, F.C. Road, Shivajinagar, Pune - 411 005, Tel: (020) 64005932/ 30288775/ 6, **Rajkot:** Arihant Plaza, 201 2nd Floor, Subhas Rd, Near Moti Taki, Rajkot - 360 001, Tel: (0281) 6624848, **Surat:** 421, Jolly Plaza, Near Collector Office, Next to G.P. College, Athwa Gate, Surat - 395 001, Tel: (0261) 6554418 / 19, **Vadodara:** 202/203, Madhav Complex, R C Dutt Road, Alkapuri, Vadodara - 390 007, Tel: (0265) 6641888, 2356114, **North Agra:** G-12, Block No.-19/4, Vimal Tower, Sanjay Place, Agra - 282 002, Tel: (0562) 2525195, **Allahabad:** 1st Floor, 43/1 S P Marg, Civil Lines, Opp MAK Tower, Allahabad - 211 001, Tel: 9235531480, **Amritsar:** Mezzanine Floor, S.C.O - 25, B - Block, District Shopping Complex, Ranjit Avenue, Amritsar - 143 001, Tel: 9814082808, **Chandigarh:** Cabin No. 3-4-5, 1st Floor, S C O 487-488, Sector 35-C Chandigarh -160 022, Tel: (0172) 5087322 / 2603771, **Dehradun:** Shop No. 19, Ground Floor, Shree Radha Palace, 78, Rajpur Road, Dehradun - 248 001, Tel: (0135) 6450877, **Delhi:** 710-712 Prakash Deep Building, 7th Floor, 7 Tolstoy Marg, Connaught place, New Delhi - 110 001, Tel: (011) 66324111/102, 66303202, **Jaipur:** 233, 2nd Floor, Ganapati Plaza, M1 Road, Jaipur - 302 001, Tel: (0141) 5105177 / 78, 6539009, **Jalandhar:** 2nd Floor, Above ING Vyasya Bank, Adjoining Sekhon Grand Hotel, G. T. road, Namdev Chowk, Jalandhar - 144 001, Tel: (0181) 5001024 / 25 **Jodhpur:** Jaya Enclave, 79/4, Opp. IDBI Bank, 1st A Road, Sardarpura, Jodhpur - 342 001, Tel: (0291) 6450555, 2631257, **Kanpur:** Grnd Floor, Agarwal Building, Ading Oriental Bank of Commerce, Survey No. 419/1 Cantts, The Mall, Kanpur - 208 004, Tel: (0512) 2306066, **Lucknow:** Office No.2, Saran Chambers-I, 1st Floor, 5, Park Road, Lucknow - 226 001, Tel: (0522) 6452432 / 4001731, **Ludhiana:** Cabin No. 201, 2nd Floor, SCO 18, Opp Ludhiana Stock Exchange, Feroz Gandhi Market, Ludhiana - 141 001, Tel: (0161) 5089667/6503366, **Moradabad:** Lower Grnd Floor, Shop - 33, Chadha shopping Complex, GMD Rd, Moradabad - 244 001, Tel: (0591) 6535002 / 2313567, **Udaipur:** Office No. 4, 2nd Floor, Madhav Apartment, Opp. G P O, Chetak Circle, Udaipur - 313 001, Tel: (0294) 2429371 / 6450979, **Varanasi:** D-64/127, C-H Arihant Complex, Sigra, Varanasi - 221 010, Tel: (0542) 6544655, **South Bangalore:** 4/6, Millers Road, High Grounds, Lane Opp to Syndicate Bank, Bangalore - 560 052, Tel: (080) 66561313 / 65335986 /87, **Chennai:** Riaz Garden, 3rd Floor, No. 29, Kodambakkam High Rd, Near Palmgrove Hotel, Nungambakkam, Chennai - 600 034, Tel: (044) 64541868/69/78, **Cochin:** 2nd Floor, Ajay Vihar, JOS Junction, M. G. Rd, Cochin - 682 016, Tel: (0484) 2377580, 6533107, **Coimbatore:** 551-A, 1st Floor, West Lokmaniya Street, Near CAMS, R.S. Puram, Coimbatore - 641 002, Tel: (0422) 6502133/ 44, **Hubli:** 15 & 16, 2nd Floor, Eureka Junction, Travellers' Bungalow Rd, Above ICICI Bank, Hubli - 580 029, Tel: (0836) 6450342, **Hyderabad:** 3rd Floor, Block - B, R R Estate, G S Mall, Somajiguda, Hyderabad - 500 082, Tel: (040) 65361237 / 38, 65548290, **Madurai:** D2, 3rd Floor, AR Plaza, 16/17, North Veli Street, Madurai - 625 001, Tel: (0452) 6454330, **Mangalore:** Essel towers, 1st floor, Bunts hostel circle, Above UTI bank, Mangalore - 575 003, Tel: (0824) 6450308, **Mysore:** 847, 1st Floor, New Kantharaja URS Road, Above New Krishna Sweets & Bakery, Kuvempu Nagar, Mysore - 570 023, Tel: (0821) 6450470, **Salem:** Rajtowers, Grnd Floor, #4 Brindhavan Rd, Fairlands, Salem - 636 016, Tel: (0427) 6451653, **Trivandrum:** 4th Floor, Krishna Towers, Sasthamangalam, Trivandrum - 695 010, Tel: (0471) 6535431, 2319139, **Trichur:** 4th Floor, PathyaPura Buildings, Round South, Trichur -680 001, Tel: (0487) 6451286, **Trichy:** No.60/3, 'Krishna' 2nd Floor, Sastri Main Road, Tennur, Trichy - 620 017, Tel: (0431) 6455060, **Visakhapatnam:** Shop 10 & 11, 1st Flr, Above Titan Showroom, Sreemukha Complex, Dwarakanagar, Visakhapatnam - 530 016, Tel: (0891) 6451883, **East Bihilai:** Shop No.145, Grd Flr, Chauhan Estate, Near HDFC Bank, Bihilai - 490 001, Tel: (0788) 2295625, **Bhubaneswar:** Janpath Tower, Room-208, 2nd Flr; Ashok Nagar, Bhubaneswar - 751 009, Tel: (0674) 2533818 / 6450817, **Dhanbad:** Shriram PLaza, Room - 409, 4th floor, Bank More, Dhanbad, Jharkhand - 826 001, Tel: (0326) 2300304, **Durgapur:** A-207, 1st floor, Kamdhenu, Bengal Shristi Complex, City Centre, Durgapur - 713 216, Tel: (0343) 6454797, **Guwahati:** 109, 1st Floor, Orion Towers, Christian Basti, G. S. Road, Guwahati- 781 005, Tel: (0361) 2343084, **Jamshedpur:** C/o. Mithila Motors Ltd., 1st Floor, Main Road, Bistupur, Jamshedpur - 831 001, Tel: (0657) 2756021/22/23/30, **Kolkata:** Tata Centre, 1st Floor, 43, Jawaharlal Nehru Road, Kolkata - 700 071, Tel: (033) 22881534 / 3413 / 15, **Patna:** 605, 6th Floor, Ashiana Harniwas, New Dak Banglow Road, Patna - 800 001, Tel: (0612) - 6450120 / 2206497, **Raipur:** Shop No. - 331 & 332, Lal Ganga Shopping Mall, 3rd Floor, G E Road, Raipur - 492 001, Tel: (0771) 6537340 / 2543354, **Ranchi:** Shop No - 15, Grnd Floor, A.C. Market, G.E.L. Church Complex, Main Road, Ranchi - 834 001, Tel: (0651) 2330704.